

Please type a plus sign (+) inside this box → ☐

PTO/SB/05 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. LUC-297/Corvino 1-7-1-24-1-4

First Inventor or Application Identifier Corvino

Title COUPLING OF SPLITTER WITH SUBSET OF PLURALITY OF
LINES ON ONE-TO-ONE BASIS

Express Mail Label No. EL041358367US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning design patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 23]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C.113) [Total Sheets 3]
5. Oath or Declaration [Total Pages 9]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 17 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. § 3.73(b) Statement ☒ Power of
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure ☒ Copies of IDS
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☒ Other: Certificate of Express Mail

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,
or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No: ____ /

Prior application information: Examiner ____

Group / Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied
under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference.
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

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Wildman, Harrold, Allen & Dixon

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IL

Zip Code

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Country USA

Telephone

312/201.2000

Fax

312/201.2555

Name (Print/Type)

Robert J. Brill

Registration No. (Attorney/Agent)

36,760

Signature

Robert J. Brill

Date

February 2, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any
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Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for
Patents, Box Patent Application, Washington, DC 20231.

<h1 style="margin: 0;">FEE TRANSMITTAL</h1> <h2 style="margin: 0;">for FY 2001</h2> <p style="margin: 5px 0 0 40px;"><i>Patent fees are subject to annual revision.</i></p>		<p><i>Complete if Known</i></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td style="width: 50%;">N/A</td> </tr> <tr> <td>Filing Date</td> <td>February 2, 2001</td> </tr> <tr> <td>First Named Inventor</td> <td>Corvino</td> </tr> <tr> <td>Examiner Name</td> <td>N/A</td> </tr> <tr> <td>Group / Art Unit</td> <td>N/A</td> </tr> <tr> <td>Attorney Docket No.</td> <td>LUC-297/Corvino 1-7-1-24-1-4</td> </tr> </table>		Application Number	N/A	Filing Date	February 2, 2001	First Named Inventor	Corvino	Examiner Name	N/A	Group / Art Unit	N/A	Attorney Docket No.	LUC-297/Corvino 1-7-1-24-1-4
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TOTAL AMOUNT OF PAYMENT	(\$) 710														

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)																																																																																																																																																																																										
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number: 12-2325</p> <p>Deposit Account Name: Lucent Technologies, Inc.</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p> <p>2. <input type="checkbox"/> Payment Enclosed:</p> <p style="margin-left: 40px;"> <input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other </p>	<p>3. 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SUBMITTED BY				Complete (if applicable)	
Name (Print/Type)	Robert J. Brill	Registration No. Attorney/Agent)	36,760	Telephone	312/201.2000
Signature	<i>Robert J. Brill</i>	Date	February 2, 2001		

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Corvino et al. EXAMINER: N/A
SERIAL NO.: N/A GROUP: N/A
FILED: 2/2/2001 CASE NO.: LUC-297/Corvino 1-7-1-24-1-4
ENTITLED: COUPLING OF SPLITTER WITH SUBSET OF PLURALITY OF
LINES ON ONE-TO-ONE BASIS

CERTIFICATE OF MAILING

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

Dear Sirs:

I hereby certify that the following documents pertaining to the above referenced application have been deposited with the United States Postal Service as Express Mail No. EL041358367US addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231 on February 2, 2001.

New Application Transmittal
Fee Transmittal Sheet
23-page Specification, Claims, Abstract
3-sheets Informal Drawings
9-page Executed Declaration and Power of Attorney
Recordation Form Cover Sheet w/copy for fees
7-page Executed Assignment
3-page IDS
1-copy of each of 18 cited IDS references
Certificate of Express Mail
Return Receipt Postcard

Deposited by: Leanora Petrowski

Signature: 